

Mixed Election Simulation

Paul Johnson and Erik Herron¹

¹University of Kansas

Swarmfest, Torino Italy

Availability

Code: email me pauljohn@ku.edu

Technical Writeup:

modelDescription_2005_06_06.pdf

This Presentation: elections_swarmfest_2005.pdf

Ordinary Political Model

- ▶ Voters have “ideal points” on the real number line
- ▶ Candidates and/or parties compete by making promises
- ▶ Voters choose most desirable (closest) candidate / party

Ordinary Political Model

- ▶ Voters have “ideal points” on the real number line
- ▶ Candidates and/or parties compete by making promises
- ▶ Voters choose most desirable (closest) candidate / party

Ordinary Political Model

- ▶ Voters have “ideal points” on the real number line
- ▶ Candidates and/or parties compete by making promises
- ▶ Voters choose most desirable (closest) candidate / party

We Think We know that:

- ▶ “Single-Member Plurality Elections” lead to 2 party systems
 - ▶ Voters select among candidates within “single member district”
 - ▶ Usually 2 center parties emerge
- ▶ “Proportional Elections” lead to multi-party systems
 - ▶ Voters select party
 - ▶ Algorithm used to calculate seats from votes
 - ▶ No “winner take all”
 - ▶ No “spoils politics”

We Think We know that:

- ▶ “Single-Member Plurality Elections” lead to 2 party systems
 - ▶ Voters select among candidates within “single member district”
 - ▶ Usually 2 center parties emerge
- ▶ “Proportional Elections” lead to multi-party systems

We Think We know that:

- ▶ “Single-Member Plurality Elections” lead to 2 party systems
 - ▶ Voters select among candidates within “single member district”
 - ▶ Usually 2 center parties emerge
- ▶ “Proportional Elections” lead to multi-party systems
 - ▶ Voters select party
 - ▶ Algorithm used to calculate seats from votes (various)
 - ▶ More representative, less stable

We Think We know that:

- ▶ “Single-Member Plurality Elections” lead to 2 party systems
 - ▶ Voters select among candidates within “single member district”
 - ▶ Usually 2 center parties emerge
- ▶ “Proportional Elections” lead to multi-party systems
 - ▶ Voters select party
 - ▶ Algorithm used to calculate seats from votes (various)
 - ▶ More representative, less stable

We Think We know that:

- ▶ “Single-Member Plurality Elections” lead to 2 party systems
 - ▶ Voters select among candidates within “single member district”
 - ▶ Usually 2 center parties emerge
- ▶ “Proportional Elections” lead to multi-party systems
 - ▶ Voters select party
 - ▶ Algorithm used to calculate seats from votes (various)
 - ▶ More representative, less stable

We Think We know that:

- ▶ “Single-Member Plurality Elections” lead to 2 party systems
 - ▶ Voters select among candidates within “single member district”
 - ▶ Usually 2 center parties emerge
- ▶ “Proportional Elections” lead to multi-party systems
 - ▶ Voters select party
 - ▶ Algorithm used to calculate seats from votes (various)
 - ▶ More representative, less stable

Research Problem

- ▶ Mixed Electoral System
 - ▶ Some seats allocated by proportional representation
 - ▶ Some seats allocated by single-member district contests
- ▶ Are these systems the best of both worlds?
"Best of both worlds" without the downsides of either?

Research Problem

- ▶ Mixed Electoral System
 - ▶ Some seats allocated by proportional representation
 - ▶ Some seats allocated by single-member district contests

▶ Are these systems the

best of both worlds?

What are the advantages and disadvantages of each?

Research Problem

- ▶ Mixed Electoral System
 - ▶ Some seats allocated by proportional representation
 - ▶ Some seats allocated by single-member district contests
- ▶ Are these systems the
 - ▶ Best of “Both Worlds”
 - ▶ “Bastard Hybrids” without the strengths of either!

Research Problem

- ▶ Mixed Electoral System
 - ▶ Some seats allocated by proportional representation
 - ▶ Some seats allocated by single-member district contests
- ▶ Are these systems the
 - ▶ Best of “Both Worlds”
 - ▶ “Bastard Hybrids” without the strengths of either!

Research Problem

- ▶ Mixed Electoral System
 - ▶ Some seats allocated by proportional representation
 - ▶ Some seats allocated by single-member district contests
- ▶ Are these systems the
 - ▶ Best of “Both Worlds”
 - ▶ “Bastard Hybrids” without the strengths of either!

Model Voters

- ▶ Create districts with ideological tendencies (parameter=mode & diversity).
- ▶ Voters within districts assigned preferences from tendencies of the districts.

Model Voters

- ▶ Create districts with ideological tendencies (parameter=mode & diversity).
- ▶ Voters within districts assigned preferences from tendencies of the districts.

Voter Behavior Types

- ▶ Choose Party, Vote for its candidate
- ▶ Choose Candidate, Choose SMD candidate separate
- ▶ Choose Candidate, Vote for her party

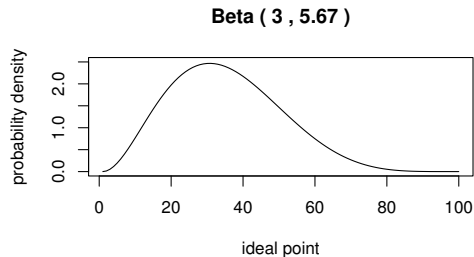
Voter Behavior Types

- ▶ Choose Party, Vote for its candidate
- ▶ Choose Candidate, Choose SMD candidate separate
- ▶ Choose Candidate, Vote for her party

Voter Behavior Types

- ▶ Choose Party, Vote for its candidate
- ▶ Choose Candidate, Choose SMD candidate separate
- ▶ Choose Candidate, Vote for her party

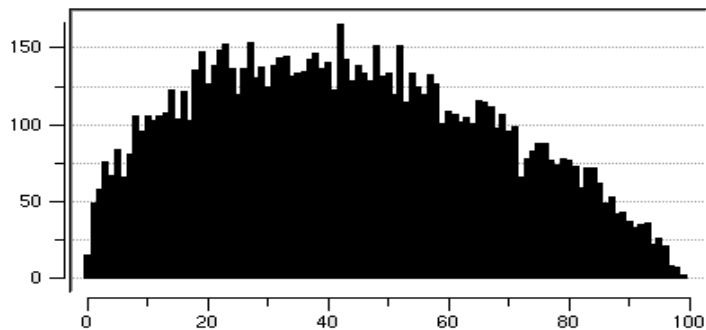
Example District



- ▶ Beta probability distribution parameterized by the mode & diversity

Realization

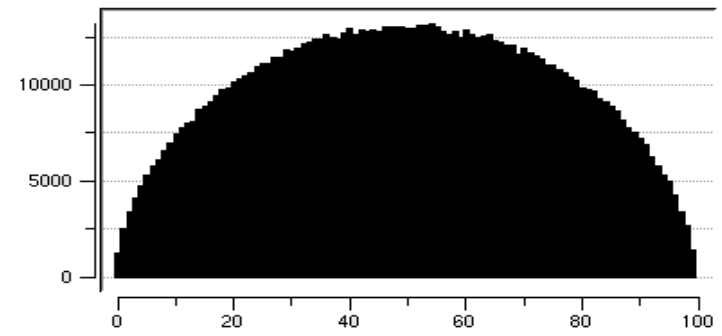
Ideals in District 11



National electorate

District Modes Uniform on (30,70)

All Districts



Bootstrapping a Political System

- ▶ Draw “**party founders**” from national electorate
- ▶ District level “**electioneers**” register party members within districts
- ▶ Parties choose SMD candidates as median of members within district

Bootstrapping a Political System

- ▶ Draw “**party founders**” from national electorate
- ▶ District level “**electioneers**” register party members within districts
- ▶ Parties choose SMD candidates as median of members within district

Bootstrapping a Political System

- ▶ Draw “**party founders**” from national electorate
- ▶ District level “**electioneers**” register party members within districts
- ▶ Parties choose SMD candidates as median of members within district

Do Parties Run Candidates In All Districts?

- ▶ If Yes: Simple
- ▶ If No: Need algorithm to allocate SMD candidates
- ▶ We chose
 - Party with $\geq 5\%$ of total votes (that has $\geq 1\%$ of total seats)
 - Parties allocate SMD candidates in districts where they get the most votes

Do Parties Run Candidates In All Districts?

- ▶ If Yes: Simple
- ▶ If No: Need algorithm to allocate SMD candidates
- ▶ We chose

Do Parties Run Candidates In All Districts?

- ▶ If Yes: Simple
- ▶ If No: Need algorithm to allocate SMD candidates
- ▶ We chose
 - ▶ Resource constraint model (membership = scarce resource)
 - ▶ Parties allocate SMD candidates in districts where they have the most members

Do Parties Run Candidates In All Districts?

- ▶ If Yes: Simple
- ▶ If No: Need algorithm to allocate SMD candidates
- ▶ We chose
 - ▶ Resource constraint model (membership = scarce resource)
 - ▶ Parties allocate SMD candidates in districts where they have the most members

Do Parties Run Candidates In All Districts?

- ▶ If Yes: Simple
- ▶ If No: Need algorithm to allocate SMD candidates
- ▶ We chose
 - ▶ Resource constraint model (membership = scarce resource)
 - ▶ Parties allocate SMD candidates in districts where they have the most members

Rubber Meets The Road

- ▶ Voters cast votes for both PR and SMD contests
- ▶ Electioneers report PR totals and SMD winners to Parliament
- ▶ **Parliament** allocates PR seats
 - ▶ LR-Hare and Compensated
 - ▶ Threshold 0.5 10

Rubber Meets The Road

- ▶ Voters cast votes for both PR and SMD contests
- ▶ Electioneers report PR totals and SMD winners to Parliament
- ▶ **Parliament** allocates PR seats
 - ▶ LR-Hare and Compensated
 - ▶ Threshold 0 5 10

Rubber Meets The Road

- ▶ Voters cast votes for both PR and SMD contests
- ▶ Electioneers report PR totals and SMD winners to Parliament
- ▶ **Parliament** allocates PR seats
 - ▶ LR-Hare and Compensated
 - ▶ Threshold 0 5 10

Jargon

1. **Run**: start the model, repeatedly hold elections
2. **Timestep**=one election
3. Between elections:
 - 3.1 Voter ideals can be “re-randomized”
 - 3.2 Party positions can be “re-randomized”
 - 3.3 Party positions might be “adapted” to observed membership tendencies

Jargon

1. **Run**: start the model, repeatedly hold elections
2. **Timestep**=one election
3. Between elections:
 - 3.1 Voter ideals can be “re-randomized”
 - 3.2 Party positions can be “re-randomized”
 - 3.3 Party positions might be “adapted” to observed membership tendencies

Jargon

1. **Run**: start the model, repeatedly hold elections
2. **Timestep**=one election
3. Between elections:
 - 3.1 Voter ideals can be “re-randomized”
 - 3.2 Party positions can be “re-randomized”
 - 3.3 Party positions might be “adapted” to observed membership tendencies

Batch Or Graphical Interface

- ▶ Histogram of ideal points
- ▶ Median of party SMD candidates
- ▶ Party seats
- ▶ Indicators of representation

Control Panel

The screenshot shows a software interface with two main windows: "Parameters" and "ProcCtrl".

Parameters Window: This window contains a list of parameters and their values:

| | |
|------------------|------|
| numDistricts | 100 |
| numCitizens | 1000 |
| numParties | 5 |
| adaptiveParties | 0 |
| randomizeVoters | 1 |
| randomizeParties | 0 |
| allInAll | 1 |
| districtSpread | 0.4 |
| lowerPrefParam | 1.5 |
| threshold | 5 |
| partySeed | -1 |
| voterSeed | -1 |
| districtSeed | -1 |
| probPartisanT2 | 0.1 |
| probPartisanT3 | 0.1 |
| run | -1 |
| duration | 5000 |

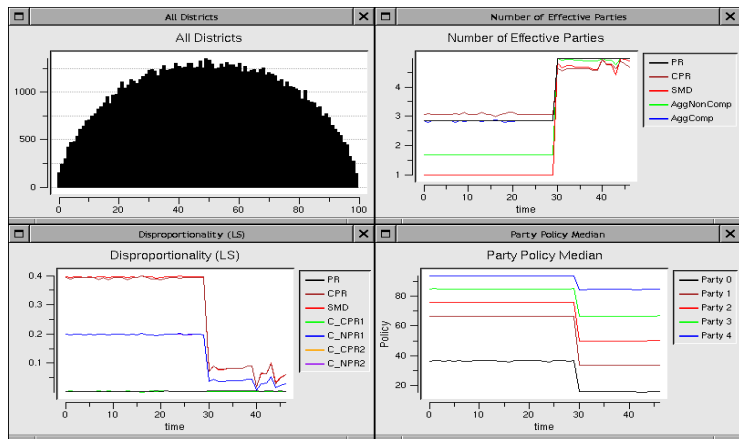
ProcCtrl Window: This window contains control buttons:

- Start
- Stop
- Next
- Save
- Quit

Output Window: This window displays the results of operations:

- showHistoDist: []
- dropParty: []
- mergeParties: [] And: []
- addNewParty: []

Graphs



Batch Design

100 runs with each of

- ▶ Number of Parties = 5 , 10, 15
- ▶ Voter Type Distributions
(1, 0, 0), (0, 1, 0), (0, 0, 1), (1/3, 1/3, 1/3)
- ▶ PR thresholds 0, 5, 10
- ▶ All Parties Run SMD in All Districts 0, 1

Condition Sequence of Batch Simulation

- ▶ 30 steps: Randomly chosen party founders “stuck” in position between elections
 - ▶ Voter ideal points re-drawn
- ▶ ?? steps: Parties adapt dynamically, founder stands at “median of candidate positions” from previous election
- ▶ 30 steps (after equilibration): Parties adapt dynamically with randomly re-draw voters
- ▶ 100 steps: Fix voters, Randomly Choose party founders.

Condition Sequence of Batch Simulation

- ▶ 30 steps: Randomly chosen party founders “stuck” in position between elections
 - ▶ Voter ideal points re-drawn
- ▶ ?? steps: Parties adapt dynamically, founder stands at “median of candidate positions” from previous election
- ▶ 30 steps (after equilibration): Parties adapt dynamically with randomly re-draw voters
- ▶ 100 steps: Fix voters, Randomly Choose party founders.

Condition Sequence of Batch Simulation

- ▶ 30 steps: Randomly chosen party founders “stuck” in position between elections
 - ▶ Voter ideal points re-drawn
- ▶ ?? steps: Parties adapt dynamically, founder stands at “median of candidate positions” from previous election
 - ▶ Voter ideal points are a “fixed target”
- ▶ 30 steps (after equilibration): Parties adapt dynamically with randomly re-draw voters
- ▶ 100 steps: Fix voters, Randomly Choose party founders.

Condition Sequence of Batch Simulation

- ▶ 30 steps: Randomly chosen party founders “stuck” in position between elections
 - ▶ Voter ideal points re-drawn
- ▶ ?? steps: Parties adapt dynamically, founder stands at “median of candidate positions” from previous election
 - ▶ Voter ideal points are a “fixed target”
- ▶ 30 steps (after equilibration): Parties adapt dynamically with randomly re-draw voters
- ▶ 100 steps: Fix voters, Randomly Choose party founders.

Condition Sequence of Batch Simulation

- ▶ 30 steps: Randomly chosen party founders “stuck” in position between elections
 - ▶ Voter ideal points re-drawn
- ▶ ?? steps: Parties adapt dynamically, founder stands at “median of candidate positions” from previous election
 - ▶ Voter ideal points are a “fixed target”
- ▶ 30 steps (after equilibration): Parties adapt dynamically with randomly re-draw voters
- ▶ 100 steps: Fix voters, Randomly Choose party founders.

Condition Sequence of Batch Simulation

- ▶ 30 steps: Randomly chosen party founders “stuck” in position between elections
 - ▶ Voter ideal points re-drawn
- ▶ ?? steps: Parties adapt dynamically, founder stands at “median of candidate positions” from previous election
 - ▶ Voter ideal points are a “fixed target”
- ▶ 30 steps (after equilibration): Parties adapt dynamically with randomly re-draw voters
- ▶ 100 steps: Fix voters, Randomly Choose party founders.

Actual demonstration!

No extra charge!

Interesting Bug Invented

- ▶ Caution about “local variables” and references
- ▶ OK to do

```
- someMethod {  
    id anAgent = [AClass create: self];  
    [otherClass setAgent: anAgent];  
}
```

- ▶ **anAgent** is a LOCAL VARIABLE.
- ▶ Is it safe to allow otherClass to “use” that agent after code exits this method?
- ▶ That is OK because create grabs memory for **anAgent** and it is held until **anAgent** is dropped.

Interesting Bug Invented

- ▶ Caution about “local variables” and references
- ▶ OK to do

```
- someMethod {  
  id anAgent = [AClass create: self];  
  [otherClass setAgent: anAgent]; }  
}
```

- ▶ **anAgent** is a LOCAL VARIABLE.
- ▶ Is it safe to allow otherClass to “use” that agent after code exits this method?
- ▶ That is OK because create grabs memory for **anAgent** and it is held until **anAgent** is dropped.

Interesting Bug Invented

- ▶ Caution about “local variables” and references
- ▶ OK to do

```
- someMethod {  
  id anAgent = [AClass create: self];  
  [otherClass setAgent: anAgent]; }  
}
```

- ▶ **anAgent** is a LOCAL VARIABLE.
- ▶ Is it safe to allow otherClass to “use” that agent after code exits this method?
- ▶ That is OK because create grabs memory for **anAgent** and it is held until **anAgent** is dropped.

Interesting Bug Invented

- ▶ Caution about “local variables” and references
- ▶ OK to do

```
- someMethod {  
  id anAgent = [AClass create: self];  
  [otherClass setAgent: anAgent]; }  
}
```

- ▶ **anAgent** is a LOCAL VARIABLE.
- ▶ Is it safe to allow otherClass to “use” that agent after code exits this method?
- ▶ That is OK because create grabs memory for **anAgent** and it is held until **anAgent** is dropped.

But...

- ▶ Suppose instead you try

```
char * colors = {"white", "green", "blue"}  
prSeatGraph = [EZGraph createBegin: self];  
[prSeatGraph setColors: colors count: 3];  
prSeatGraph = [prSeatGraph createEnd];
```

- ▶ That's headed for a **big crash**
- ▶ EZGraph does not copy the array into a data structure, it just makes a "note" of where the data is.
- ▶ The next time EZGraph tries to find the colors, they are not there

But...

- ▶ Suppose instead you try

```
char * colors = {"white", "green", "blue"}  
prSeatGraph = [EZGraph createBegin: self];  
[prSeatGraph setColors: colors count: 3];  
prSeatGraph = [prSeatGraph createEnd];
```

- ▶ That's headed for a **big crash**
- ▶ EZGraph does not copy the array into a data structure, it just makes a "note" of where the data is.
- ▶ The next time EZGraph tries to find the colors, they are not there

But...

- ▶ Suppose instead you try

```
char * colors = {"white", "green", "blue"}
prSeatGraph = [EZGraph createBegin: self];
[prSeatGraph setColors: colors count: 3];
prSeatGraph = [prSeatGraph createEnd];
```

- ▶ That's headed for a **big crash**
- ▶ EZGraph does not copy the array into a data structure, it just makes a "note" of where the data is.
- ▶ The next time EZGraph tries to find the colors, they are not there

But...

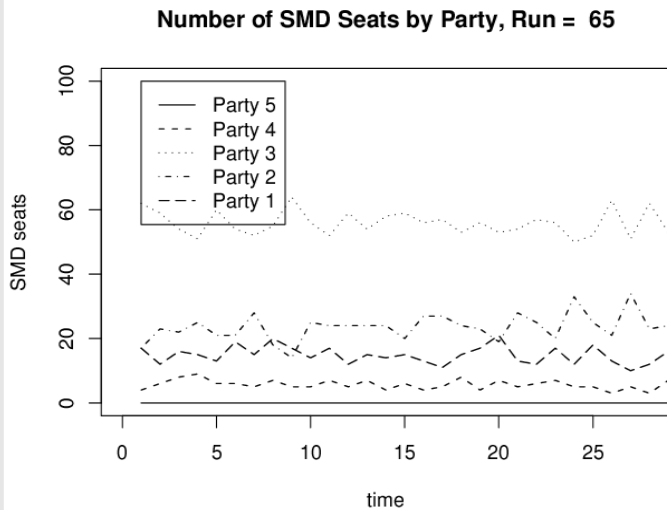
- ▶ Suppose instead you try

```
char * colors = {"white", "green", "blue"}
prSeatGraph = [EZGraph createBegin: self];
[prSeatGraph setColors: colors count: 3];
prSeatGraph = [prSeatGraph createEnd];
```

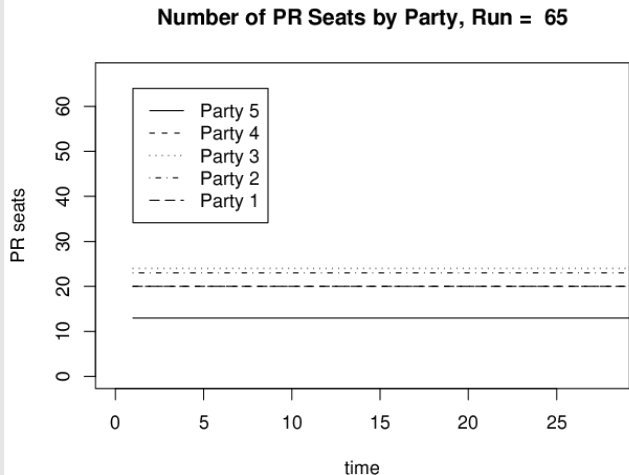
- ▶ That's headed for a **big crash**
- ▶ EZGraph does not copy the array into a data structure, it just makes a "note" of where the data is.
- ▶ The next time EZGraph tries to find the colors, they are not there

What do we find out?

SMD is a lot more exciting than PR



But With PR

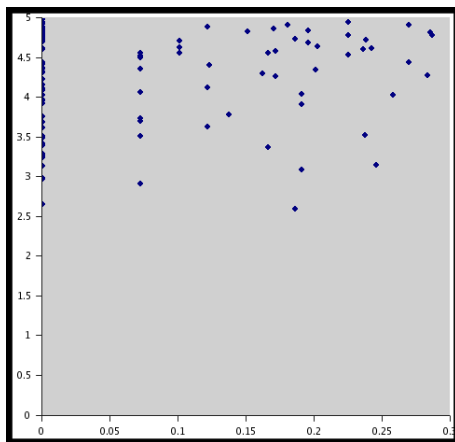


Instability Index

Calculate standard deviation in Number of Seats for each party

Instability Index=Average of those standard deviations

PR



Vert=#N of Effective Parties Horiz=Instability index

But SMD is quite different

